

**In the United States Patent and Trademark Office**

<b>Serial No.:</b>	10/780,313	<b>Docket No.:</b>	17209-452
<b>Filed:</b>	February 17, 2004	<b>Conf.No.:</b>	1068 <b>Art Unit:</b> 3691
<b>Applicant(s):</b>	Daniel Opperman, et al.	<b>Examiner:</b>	Hatem M. Ali
<b>For:</b>	Methods and Apparatus for Facilitating Financial Instrument Trading Orders		

Mailstop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT/RESPONSE ACCOMPANYING RCE, 37 CFR §1.114**

Sir:

Further to the Notice of Appeal filed February 3, 2011 and further to the Final Office Action dated August 3, 2010 (hereinafter "Office Action"), in view of the concurrently filed request for Request Continued Examination ("RCE"), and in view of the following amendments and/or remarks, Daniel Opperman and/or Attorney(s) for applicant(s) (hereinafter "Applicant") request entry thereof and reconsideration of the above-referenced application.

**Amendments to the Claims** are reflected in the listing of claims begin on page 2.

**Remarks** begin on page 12 of this paper.

**35 USC § 103** remarks begin on page 12 of this paper.